

ANZ Trust and Company Account Information Update Form

- ANZ Share and Bond Trading



1. ACCOUNT INFORMATION UPDATE – TRUSTS OR COMPANY

For a Trust, all Trustees must sign. For a Company, two Directors, a Director and authorised signatory or a Sole Director and a witness must sign.

Please note: These changes will only apply to your ANZ Share and Bond Trading account and not to other accounts held at ANZ.

Share and Bond Trading Account Number:

Company or Trust name:

Title: First Names: Surname:

Previous Address Details:

.....
.....

2. CHANGE CONTACT DETAILS

Please be advised that the Trust/Company details have changed effective from/...../..... and update the client account information for the Trust/Company accordingly:

Postal Address

Alternative or Registered Address ☐

If this address is unchanged, please tick

.....
.....
.....

Directors/Trustees: Please indicate if the change of detail is for the Company/Trust or for an individual Director/Trustee

Company/Trust ☐ Director/Trustee ☐ NAME

Phone Mobile

Email

IMPORTANT NOTE: if your registered address, postal address and/or contact phone numbers are no longer based in New Zealand, you may need to complete additional paperwork. If this is the case, you will be contacted by ANZ Share and Bond Trading.

3. CHANGE BANK ACCOUNT DETAILS

To change the nominated ANZ account for ANZ Share and Bond Trading services, please complete and attach a new direct debit form. You will also need to enclose a certified copy of a bank encoded (bank printed) deposit slip, cheque or bank statement as verification of the nominated account you have specified in the direct debit form.

☐ I/we enclose certified proof of the bank account details - refer to Page 3.

4. CHANGE AUTHORISED REPRESENTATIVE

Please amend/change/add or delete the following Authorised Representatives (please circle appropriate option):

Title: _____ First Names: _____ Surname: _____
Address: _____
Phone _____ Position: _____
Email address _____
Will receive contract notes by email ☐

Is the individual named above a US resident for tax purposes?

☐

No

☐

Yes – refer to the “FAQs” page on the ANZ Share and Bond Trading website.

Is the individual named above a US citizen?

☐

No

☐

Yes – refer to the “FAQs” page on the ANZ Share and Bond Trading website.

☐

I enclose certified proof of legal name and date of birth for new Authorised Representative - refer to Page 3.

☐

I enclose certified proof of the residential address for new Authorised Representative - refer to Page 3.

5. CHANGE DIRECTOR/TRUSTEE

Please amend/change/add or delete the following Director/Trustee to/from the Company/Trust account:

Title: _____ First Names: _____ Surname: _____
Address: _____
Phone _____ Position: _____
Email address _____

Is the individual named above a US resident for tax purposes?

☐

No

☐

Yes – refer to the “FAQs” page on the ANZ Share and Bond Trading website.

Is the individual named above a US citizen?

☐

No

☐

Yes – refer to the “FAQs” page on the ANZ Share and Bond Trading website.

☐

Authorised to trade on behalf of the Company/Trust

☐

Will receive contract notes by email

☐

Director holds 25% or more shareholding in company - or - Trustee is a Beneficiary of the Trust

☐

I /We enclose certified proof of legal name and date of birth for the new Director/Trustee - refer to Page 3.

☐

I /We enclose certified proof of the residential address for the new Director/Trustee - refer to Page 3.

6. SIGNATURE(S)

For a Trust, all Trustees must sign.

For a Company, two Directors, a Director and authorised signatory or a Sole Director and a witness must sign.

Name: _____

Signature: _____ Date: _____

Name: _____

Signature: _____ Date: _____

- It is your responsibility to advise all Share Registries (for all NZ holdings and non-CHESS AUS holdings) of these changes in writing.
- Discrepancies between the registry records and ANZ Share and Bond Trading records will cause delays in settling transactions.
- CHESS Account Holders: As this is a broker sponsored account, it is our responsibility to advise your CHESS provider of these changes.

PLEASE RETURN THIS FORM TO:

Post: ANZ Share and Bond Trading, PO Box 1790, Wellington 6140

Fax: 04 498 7064

Email: customerservice@anzshareandbondtrading.co.nz

ANZ Acceptable Forms of Identification and Address Verification

- ANZ Share and Bond Trading



PHOTO IDENTIFICATION

Account Holders and Authorised Representatives must provide a certified copy of one of the following:

- Passport;
- New Zealand Firearms Licence;
- New Zealand Driver's Licence plus Birth Certificate; or
- another combination of identification as agreed to by Direct Broking

BANK ACCOUNT

Account Holders must provide a certified copy of one of the following:

- Bank statement (as delivered by mail, not via internet banking);
- A bank-generated deposit slip; or
- A cheque

RESIDENTIAL ADDRESS

Account Holders and Authorised Representatives must provide an original or a certified copy of one of the following:

- Bank statement (as delivered by mail, not via internet banking);
- Utility or Rates Bill;
- IRD tax notice/certificate;
- Insurance policy document; or
- another combination of identification as agreed to by Direct Broking

CERTIFICATION OF SUPPORTING DOCUMENTATION

Certified documents must be signed and include the name of the certifier, their occupation and the date. The certifier must be at least 16 years of age and cannot be related to the person, the spouse or partner of the person or living at the same address as the person.

The document being certified and the signature of the certifier must not be dated more than 3 months before the date this application form is signed.

Acceptable certifiers are:

- ANZ employee;
- Justice of the Peace;
- Lawyer (must be from a Financial Action Task Force (FATF) member country (including NZ);
- Chartered Accountant (must be member of NZICA);
- A sworn employee of the NZ Police who holds the office of constable (any rank);
- Notary Public;
- New Zealand Honorary Consul;
- Member of Parliament; or
- Commonwealth Representative; includes Ambassador, High Commissioner, Commissioner, Minister, Counsellor, Charge d'Affaires, Head of Mission, Consular Officer, Pro-Consul, Trade Commissioner of a Commonwealth country.